

Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet 1 of 2

**Complete If Known**

Application Number	TBA 10/781,539
Filing Date	February 17, 2004
First Named Inventor	Casey Chung
Art Unit	TBA 2875
Examiner Name	TBA A. REHM
Attorney Docket Number	011687.00036

**U.S. PATENT DOCUMENTS**

Examiner Initials *	Cite No. 1	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code <sup>2</sup> (if known)			
AR		US-2002/0172048 A1	11/21/2002	Perfo, et al.	
		US- 6,418,201	7/9/2002	Strand, et al.	
		US- 6,305,824 B1	10/23/2001	Holten	
		US- 5,570,525	11/5/1996	Pagliari, et al.	
		US- 5,105,345	4/14/1992	Katoh, et al.	
		US- 4,516,187	5/7/1985	Yonkers	
		US- 4,418,378	11/29/1983	Johnson	
		US- 3,628,007	12/14/1971	Gunnar, et al.	
		US- 1,217,420	2/27/1917	Davis, et al.	
		US- DES 397,819	9/1/1998	Thornton, Jr.	
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		US- 6,561,678 B2	5/13/2003	Loughrey	
		US- 5,709,460	1/20/1998	Lester	
		US- 5,967,648	10/19/1999	Barnes, II et al	
		US- 4,884,178	11/28/1989	Roberts	
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		US- 4,390,930	6/28/1983	Herst, et al.	
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		US- 5,278,738	1/11/1994	Illes	
		US 4,974,137	11/27/1990	Evans, Jr, et al.	
		US 6,266,136	7/24/2001	Ramer, et al.	
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		US 6,064,061	5/16/2000	Ramer, et al.	
		US 6,043,873	3/28/2000	Ramer, et al.	
		US 5,914,487	6/22/1999	Ramer, et al.	
		US 5,733,028	3/31/1998	Ramer, et al.	
		US 5,967,652	10/19/1999	Ramer, et al.	

**FOREIGN PATENT DOCUMENTS**

Examiner Initials *	Cite No. 1	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (if known)				
AR		JP 03-190004	20.08.1991	Shin Etsu Polymer Co Ltd.		

Examiner  
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Date  
Considered

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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

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**OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS**

Examiner Initials *	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
AC		Renaissance Lighting Wall Washer CMH Series Specifications	
		LAM Performance Indirect, HPD Specifications	
		Alera Lighting IPR Low Profile Indirect Parabolic Reflector Specifications 2-1C	
		Finelite, Inc., Finelite Series 14 - Technical Sheet	
		Alera Lighting Technical Installatin Data IPRL 2-1.1 C	
AR		Alera Lighting Indirect IPR Indirect Parabolic Reflector 2-2 C	

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